

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number
WO 02/043615 A3

(51) International Patent Classification⁷: **A61F 2/00**

(21) International Application Number: **PCT/US01/44549**

(22) International Filing Date:
28 November 2001 (28.11.2001)

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:
09/724,784 28 November 2000 (28.11.2000) US
09/826,583 6 April 2001 (06.04.2001) US

(71) Applicant: **CALIFORNIA INSTITUTE OF TECHNOLOGY** [US/US]; 1200 East California Blvd., Pasadena, CA 91125 (US).

(72) Inventors: **UNGER, Marc, A.**; 2555 Adams Court, South San Francisco, CA 94080 (US). **CHOU, Hou-Pu**; 711 Shell Blvd. #106b, Foster City, CA 94404 (US). **THORSEN, Todd, A.**; 810 N. Michigan Avenue,

Pasadena, CA 91104 (US). **SCHERER, Axel**; 1900 Catalina Street, Laguna Beach, CA 92651 (US). **QUAKE, Stephen, R.**; 744 Plymouth Road, San Marino, CA 91108 (US). **LIU, Jian**; 366 S. Catalina Ave. #201, Pasadena, CA 91106 (US). **ADAMS, Mark, L.**; 260 S. Michigan Ave., Pasadena, CA 91106 (US). **HANSEN, Carl, L.**; 438 S. Catalina Ave. #204, Pasadena, CA 91106 (US).

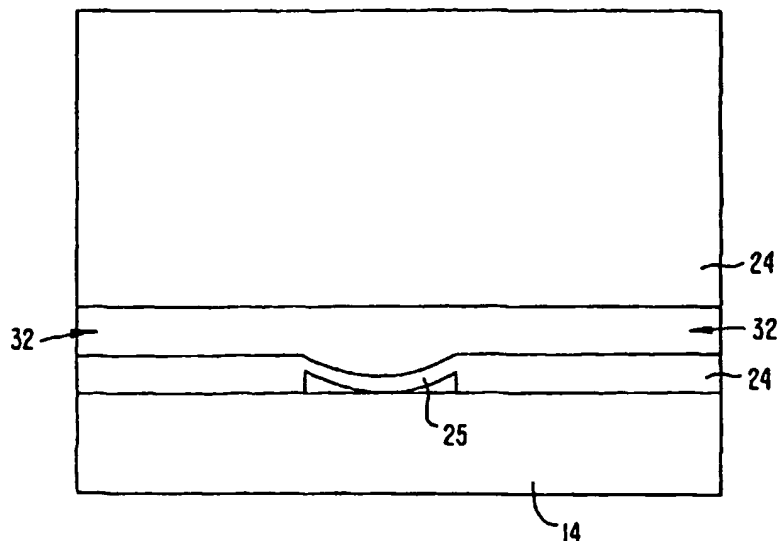
(74) Agents: **TOBIN, Kent, J. et al.**; Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),

[Continued on next page]

(54) Title: **MICROFABRICATED ELASTOMERIC VALVE AND PUMP SYSTEMS**



(57) Abstract: A method of fabricating an elastomeric structure, comprising: forming a first elastomeric layer on top of a first micromachined mold, the first micromachined mold having a first raised protrusion which forms a first recess extending along a bottom surface of the first elastomeric layer; forming a second elastomeric layer on top of a second micromachined mold, the second micromachined mold having a second raised protrusion which forms a second recess extending along a bottom surface of the second elastomeric layer; bonding the bottom surface of the second elastomeric layer onto a top surface of the first elastomeric layer such that a control channel forms in the second recess between the first and second elastomeric layers; and positioning the first elastomeric layer on top of a planar substrate such that a flow channel forms in the first recess between the first elastomeric layer and the planar substrate.

WO 02/043615 A3



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

(88) Date of publication of the international search report:
13 March 2003

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/44549

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 F04B43/04 F15C5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F04B F15C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 346 372 A (NARUSE YOSHIHIRO ET AL) 13 September 1994 (1994-09-13)	21,22
Y	abstract	1,3,4
A	column 2, line 26 -column 5, line 20 figures 1-12	2,5,6,15

Y	US 6 043 080 A (FODOR STEPHEN P A ET AL) 28 March 2000 (2000-03-28)	1,3,4
A	abstract	15
	column 15, line 65 -column 17, line 21 column 19, line 54 -column 21, line 13 figures 2,5	

X	EP 0 779 436 A (HARTLEY FRANK T) 18 June 1997 (1997-06-18)	35,37
A	abstract	1,2,5
	column 3, line 41 -column 6, line 4 figures 1-7	

	-/--	

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"Z" document member of the same patent family

Date of the actual completion of the international search

12 July 2002

Date of mailing of the international search report

29. 10. 2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax (+31-70) 340-3016

Authorized officer

Kolby, L

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/44549

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	POL VAN DE F C M ET AL: "A THERMO-PNEUMATIC ACTUATION PRINCIPLE FOR A MICROMINIATURE PUMP AND OTHER MICROMECHANICAL DEVICES" SENSORS AND ACTUATORS, ELSEVIER SEQUOIA S.A. LAUSANNE, CH, vol. 17, no. 1/2, 3 May 1989 (1989-05-03), pages 139-143, XP000038020	35,36
A	page 139, paragraph 1 -page 141, paragraph 2	1,5
A	--- US 5 088 515 A (KAMEN DEAN L) 18 February 1992 (1992-02-18) abstract column 3, line 53 -column 7, line 37 figures 1-7	1,3,4,15
A	--- US 4 245 673 A (BOUTEILLE DANIEL ET AL) 20 January 1981 (1981-01-20) column 4, line 46 - line 51 figures 3,4 -----	5

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 01/44549

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-4, 5-11, 15, 35-38

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-4,5-11,15,35-38

A microfluidic structure having integral membrane portion
A method of microfabricating an elastomeric structure

2. Claims: 12-14,83

A method for forming a via in a microfabricated elastomer structure

3. Claims: 16-20,39-45

A composite structure with a separate membrane member
A method of forming a composite structure

4. Claims: 21-34

A composite structure comprising an active device
A method of fabricating a composite structure with an active device

5. Claims: 46-53

A fluidic logic device

6. Claims: 54-58,59-61

A pressure amplifier
A method of amplifying a pressure in a flow channel

7. Claims: 62-65

A one way valve in a microfabricated channel

8. Claims: 66-67

A method of filling a microfabricated elastomeric structure with fluid

9. Claims: 68-70

A method of metering a volume of fluid

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

10. Claims: 71-74,79-82

A method of promoting adhesion between layers of
microfabricated structure

A method of fabricating an elastomeric structure

11. Claims: 75-77,78

A method of actuating a microfabricated elastomeric
structure comprising an aqueous salt solution

A microfabricated syringe structure comprising an aqueous
salt solution

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/44549

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5346372	A	13-09-1994	JP 3328300 B2 JP 5026170 A	24-09-2002 02-02-1993
US 6043080	A	28-03-2000	US 5856174 A US 6197595 B1 US 2001036672 A1 AU 6404996 A EP 0843734 A1 JP 11509094 T US 6168948 B1 WO 9702357 A1 US 6326211 B1 US 5922591 A US 2002022261 A1	05-01-1999 06-03-2001 01-11-2001 05-02-1997 27-05-1998 17-08-1999 02-01-2001 23-01-1997 04-12-2001 13-07-1999 21-02-2002
EP 0779436	A	18-06-1997	US 5705018 A EP 0779436 A2 US 6007309 A	06-01-1998 18-06-1997 28-12-1999
US 5088515	A	18-02-1992	US 4976162 A AT 177955 T AU 672154 B2 AU 1229795 A AU 658825 B2 AU 7885891 A BR 9106446 A CA 2082057 A1 DE 69131043 D1 DE 69131043 T2 EP 0528947 A1 FI 925177 A FI 20001365 A IL 98152 A IL 104253 A IL 107308 A IL 107309 A MX 173229 B US 5401342 A WO 9117780 A1 US 5533389 A US 5526844 A US 5575310 A US 5193990 A US 5178182 A US 5211201 A US 5349852 A US 5222946 A US 6406276 B1 US 5353837 A US 5195986 A US 5364371 A AT 175270 T CA 2049337 A1 DE 69032869 D1 DE 69032869 T2 DK 471000 T3 EP 0471000 A1 JP 2952037 B2	11-12-1990 15-04-1999 19-09-1996 11-05-1995 04-05-1995 10-12-1991 18-05-1993 16-11-1991 29-04-1999 18-11-1999 03-03-1993 13-11-1992 08-06-2000 30-05-1994 30-03-1995 05-12-1996 04-08-1996 09-02-1994 28-03-1995 28-11-1991 09-07-1996 18-06-1996 19-11-1996 16-03-1993 12-01-1993 18-05-1993 27-09-1994 29-06-1993 18-06-2002 11-10-1994 23-03-1993 15-11-1994 15-01-1999 02-11-1990 11-02-1999 02-06-1999 30-08-1999 19-02-1992 20-09-1999

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/44549

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
US 5088515	A	JP 5502096 T KR 166337 B1 WO 9013795 A2	15-04-1993 01-05-1999 15-11-1990	
US 4245673	A	20-01-1981	FR 2418882 A1 FR 2448176 A1 DE 2907726 A1 JP 54135986 A JP 63052247 B	28-09-1979 29-08-1980 20-09-1979 22-10-1979 18-10-1988